# Unique Spectral Interferometry solutions for complex High Aspect Ratio 3D NAND structures

Jaesuk Yoon<sup>a</sup>, Jongmin Park<sup>a</sup>, Minjung Shin<sup>a</sup>, and Dongchul Ihm<sup>a</sup>, Oshrat Bismuth<sup>b</sup>, Smadar Ferber<sup>b</sup>, Jacob Ofek<sup>b</sup>, Igor Turovets<sup>b</sup>, Isaac Kim\*<sup>c</sup>, <sup>a</sup>Flash Process Development Team MI, Samsung R&D Center, Hwaseong, Korea, <sup>b</sup>NOVA Ltd, Rehovot, Israel, <sup>c</sup>Nova Measuring Instruments Korea Ltd., Gyeonggi-do, Korea.

### **ABSTRACT**

We have demonstrated the unique capabilities of spectral interferometry (SI) with vertical traveling scatterometry algorithms (VTS) to solve 3D NAND challenges by measuring complex layer thicknesses of the multideck 3D structures directly from the VTS signals, without modeling, with Cell Over Periphery (COP) underlayer filtering. Multiple examples are presented in the paper, including the measurement of the thin and thick layers of memory structures above the complex logic arrays and the remaining thickness of the fully processed Si wafer from the back side after thinning.

In addition, VTS and AI enable direct profiling of the deep through-type cell metal contacts in the areas with nonperiodic staircases and significant lateral variations under the measurement spot.

**Keywords:** OCD, Spectral Interferometry, Spectral Reflectometry, 3D NAND, HAR, contact hole profiles, staircases, and non-periodical targets.

### 1. INTRODUCTION

A novel spectral interferometry technique called vertical traveling scatterometry (VTS) that utilizes unique information from spectral interferometry was introduced in [1,2]. It enabled solutions for applications that were not feasible with traditional scatterometry approaches and allowed for data filtering related to spectral information from buried layers, which can then be ignored in the optical model [2] to overcome geometrical complexities and focus on parameters of interest through dramatically simplified optical modeling. Such model simplifications were proposed for logic back-end-of-line applications, including critical dimensions of metals above GAA transistors, embedded memory in the active area, and critical dimensions of the top metals [2]. In all these applications, scatterometry combined with spectral interferometry measured the (mostly lateral) parameters of interest (POI) on the repetitive targets of the topmost layer by filtering out information from buried underlayers [2]. These capabilities are required to address 3D NAND process challenges, exploring the third dimension instead of conventional lateral scaling, driving the semiconductor industry for over 50 years. It continues to grow in height, with 1000 memory layers becoming feasible targets in the near future. One of the main metrology challenges is measuring the layer or feature thickness, especially in complicated multideck structures, which can vary from a single nanometer to tens of microns. Currently, the main challenges for High Aspect Ratio (HAR) lateral parameters are the profiles or variations of the lateral parameters with the feature depth.

The 3D NAND challenges addressed in the current study are shown in Figure 1. The first challenge is measuring above complex underlayers, both for the Cell over the periphery (COP) and multi-deck technology (A), as well as for wafer thinning for bonding of the Cell and periphery (B). Another challenge is measuring nonperiodic staircase structures such as through type cell metal contacts (C).

\*Isaac-k@novami.com, phone +82-31-1076806698; novami.com

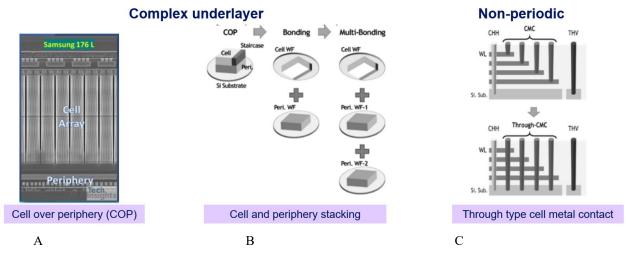


Figure 1. 3D NAND challenges COP and multi-deck technology (A) bonding of Cell and periphery (B) and through type cell metal contacts (C).

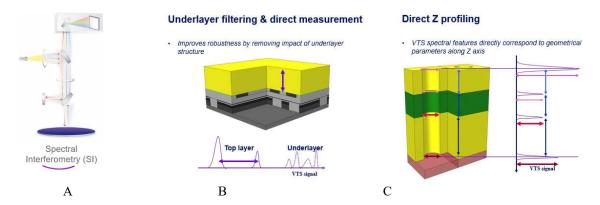


Figure 2. SI optical concept (A), VTS underlayer filtering (B), and Direct Z profiling of the complex multideck 3D NADN features (C).

In our study, we demonstrate the capabilities of SI (the scheme is shown in Figure 2A) with VTS algorithms to solve 3D metrology challenges by measuring complex layer thicknesses of the multideck 3D structures directly from the VTS signals, without modeling, with underlayer filtering (Figure 2B). Multiple examples are presented in the paper, including the measurement of the thin and thick layers of memory structures above the complex logic arrays and the remaining thickness of the fully processed Si wafer from the back side after thinning.

In addition, VTS and AI enable direct profiling (see Figure 2C) - measurement of the profiles of the deep through-type cell metal contacts in the areas with staircases and significant lateral variations under the measurement spot. This represents a challenge for traditional scatterometry, which usually measures repetitive grating structures.

### 2. RESULTS AND DISCUSSION.

VTS benefits were demonstrated in multiple 3D NAND applications (Figure 3). For all applications, we have shown direct measurement of thickness POI without modeling, with a single recipe, anywhere in the cell array, with complete 3D profiling for the contact application.

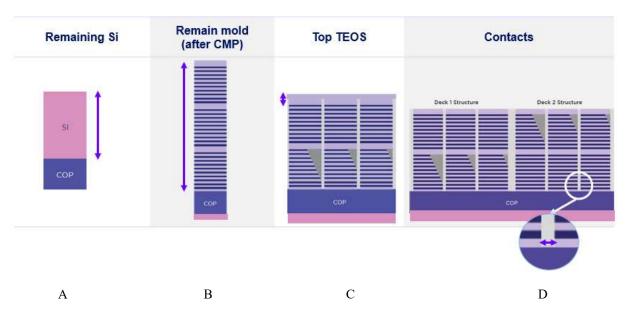


Figure 3. 3D NAND applications studied in the paper: remaining Si thickness (A), remaining mold (B) CMP, top TEOS (C), and through cell metal contact (D).

We analyzed different measurement sites for all applications, including multiple cell locations and specially designed simplified Optical CD targets that do not have complex patterns, such as periphery structures below the decks, staircases, etc. All CD structures were successfully measured with standard scatterometry solutions, modeling, and interpretation methods. All cell site solutions were achieved using the VTS capability. The robustness of VTS solutions was tested by applying them to different cell locations (with different underlayers) and proving their ability to cover significant variations of the parameters of interest (POI).

### Remaining Si thickness.

Remaining Si is an example of wafer thinning for Hybrid bonding that is required for the next generations of 3D NAND devices, where cell-to-periphery stacking will be used to reach 1000+ layers of devices [3].

We present the results of backside measurements of the extremely thinned, fully integrated wafers (polished down to a few microns) glued to the carrier. In this case, reflectance spectra are affected by the COP layers on the front side, and VTS allowed us to focus on the remaining Si thickness and eliminate the signal from these underlayers. VTS results are shown in Figure 4 as radial plots and wafer maps. Results for two measurement sites (above the OCD target and front side Cell) have similar fingerprints and minor expected offset between the two targets. OCD site results obtained with VTS modeling match results obtained with traditional OCD solutions.

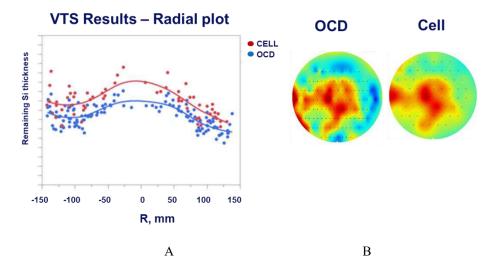
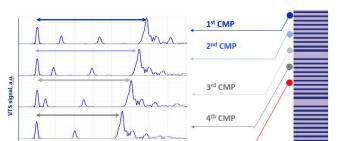


Figure 4. VTS results for the Remaining Si thickness after wafer thinning. Radial plots (A) and wafer maps (B) of the remaining Si for two locations, with OCD target and Cell (COP) below the silicon on the front side (the wafer is glued on the carrier and thinned down to microns).



5th CMP

**Remaining Mold CMP** 

Figure 5. VTS signal for the Remaining mold CMP steps 1-5. The target parameter, the overall thickness of the dielectric stack, is measured directly from the VTS signal.

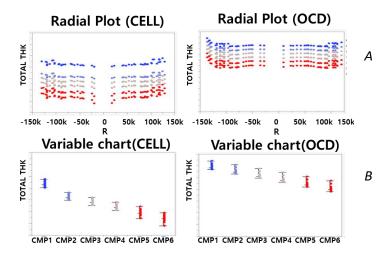


Figure 6. VTS results for the remaining mold after six CMP steps. Radial plots for overall dielectric thickness (A) are presented with a variability chart (B) for CELL and OCD targets. The Y scale on all graphs has a 4-micron range, clearly showing the magnitude of the difference between the Cell and the OCD target.

The remaining mold applications represent a multideck 3D NAND stack, with the top part of the third deck being partially removed by a sequence of the CMP steps, with the POI as the total thickness of the remaining dielectric stack. The sequence of CMP steps and corresponding VTS signals are shown in Figure 5. VTS signal allows direct measurement of the overall thickness, as shown for the first five CMP steps. Diameter plots for overall dielectric thickness are presented together with a variability chart for the Cell and OCD targets in Figure 6. There is a significant difference in the overall thickness between the Cell and OCD targets for all CMP steps. Also, CMP removal rates are different; the removal rate in the Cell sites is 1.5 to 2 times higher than on the OCD target. In addition, There is a difference between within-wafer (WIW) uniformity and the wafer fingerprint of the remaining thickness for the two targets. Measurements in the Cell are a must for the process control of this 3-deck application. VTS allows these direct and accurate in-cell measurements of the overall thickness of the complex 3-deck COP application, with a single recipe covering an extensive application range of multiple CMP steps.

## **Top TEOS**

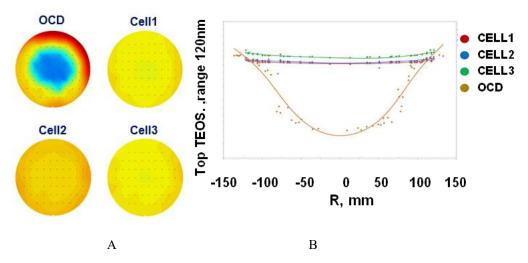


Figure 7. Top TEOS thickness Wafer Maps (A) are presented for one of the bottom deck staircase arrangements and the OCD metrology target without staircases/COP. The diameter plots of top TEOS thickness clearly show the difference between all the staircase locations and OCD targets, as average value and WIW nonuniformity.

The top TEOS application goal is to measure the thin dielectric layer covering nonperiodic structures with different complex staircase arrangements in the top and bottom decks, all above the complex COP. Results of the measurements are shown in Figure 7 for two measurement sites: Cell and OCD. Results clearly show the difference in wafer fingerprint for the two sites, proving the need for process control in the Cell. We should note that the same VTS recipe was used on multiple cell locations and showed consistent results on all staircase arrangements on both decks.

### Through cell metal contact

Process control for the Contact application requires measuring the CD profile of the HAR hole structure passing down through two deck staircase structures above the periphery (COP). In this application, the underlayer and the layers around the target feature profile are nonperiodic.

SI measurements were performed at multiple measurement sites with three different staircase arrangements on the top and the bottom decks, as shown in Figure 8A. To measure the profile of the HAR hole, we have created an AI (Machine Learning) solution based on the reference metrology measurement of the multiple CDs along the profile for all measurement targets. SI measurement results agree well with the reference metrology for all contact profile locations from top to bottom at all measurement sites. Examples of the measured profiles are shown in Figure 8B.

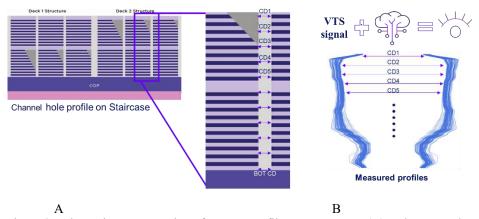


Figure 8. Schematic representation of contact profile measurements (A) and measured profiles (B) examples.

The most essential parameter for contact process control is the Bottom CD. Wafer maps of the bottom CD are shown for 3DOE CD skew wafers in Figure 9A; maps are similar for three wafers for the SI and reference measurements. The correlation plot shows a good R<sup>2</sup>=0.89 and a small RMSE (Root Mean Square Error) below 5A (see Figure 9B). In this example, removing incoming variability of non-target parameters, we demonstrated the direct correlation between the VTS signal and the POI, which allows efficient AI solutions with minimal reference data.

An additional challenge of this application was the precision of the measurements since the target size (or the size of the area with the required TCMC contacts to be measured) was smaller than the measurement spot size. We performed unique optimization of the best measurement location based on the VTS signal analysis. It allowed improvement in repeatability down to 3sigma of 0.15%.

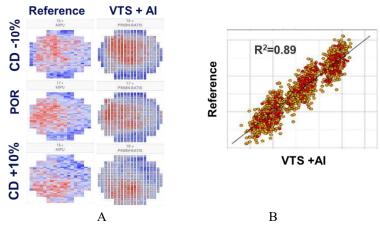


Figure 9. Bottom Contact CD wafer maps (A) for three wafers with the OCD skew and correlation plot (B) for VTS results and the reference metrology.

### 3. CONCLUSIONS

VTS capabilities were demonstrated on multiple 3D NAND applications, with underlayer filtering and direct Z profiling measurements in the memory cell arrays without modeling and reference data, with a minimal time to solution. An additional key benefit of the VTS approach is that a single measurement solution can be used at any cell location on the wafer and for any range of variations of the target parameter(s). The remaining Si, Remaining mold, and top TEOS were directly measured above the complex underlayers (molds, COPs, and staircases).

With the AI (ML) solution, the complete 3D profiling of cell metal contacts was demonstrated on the non-periodical staircase structures. A good correlation of the bottom CD to the reference metrology was presented. This example shows that a direct correlation between SI-measured signal and the POI and removal of incoming variability of non-target parameters allows efficient ML solutions with minimal reference data.

These capabilities of the SI technology will help address process control challenges for multiple emerging 3D applications, such as CFET logic with BSPDN and 3D DRAM.

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